

National Stock Exchange Of India Limited**Department : SURVEILLANCE**

Download Ref No: NSE / SURV / 41324

Date : June 17, 2019

Circular Ref. No: 240/ 2019

To All NSE Members

Sub: Applicability of Short Term Additional Surveillance Measure (ST-ASM)

This is further to Exchange Circular no. NSE/SURV/39265 dated October 27, 2018 in respect of Additional Surveillance Measure (ASM).

Members are hereby requested to note that the securities as per attached **Annexure I** have satisfied the criteria for inclusion under **ST-ASM Stage I**. The applicable surveillance actions shall be as per provisions of the Short-Term Additional Surveillance Measure (ST-ASM) which are as under:

- Applicable rate of margin shall be 1.5 times the existing margin or 40%, whichever is higher, subject to maximum rate of margin capped at 100% w.e.f. June 19, 2019 on all open positions as on June 18, 2019 and new positions created from June 19, 2019
- Top 10 clients based on gross traded value, subject to their gross traded value being greater than Rs. 10 lakhs shall be levied 100% margin on their gross traded value w.e.f. June 18, 2019 at End-of-Day (EoD). This margin shall be blocked from the collateral of the executing Trading Member. A file containing scrip / client details shall be transferred through extranet at the following location: /Surveillance/Dnld.

Market participants may note that ASM framework shall be in conjunction with all other prevailing surveillance measures being imposed by the Exchanges from time to time.

Further, it may also be noted that the shortlisting of securities under ASM is purely on account of market surveillance and it should not be construed as an adverse action against the concerned company / entity.

In case of any further queries, members are requested to contact on 022-26598129/8166.

For National Stock Exchange of India Limited**Avishkar Naik
Vice President
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List of securities shortlisted in Short - Term ASM Framework Stage - I w.e.f. June 18, 2019

Annexure I

Sr. No.	Symbol	Security Name	ISIN
1	MEGASOFT	Megasoft Limited	INE933B01012
2	KWALITY	kwality limited	INE775B01025
3	OILCOUNTUB	Oil Country Tubular Limited	INE591A01010
4	JHS	JHS Svendgaard Laboratories Limited	INE544H01014
5	SPLIL	SPL Industries Limited	INE978G01016

List of securities shortlisted in Short - Term ASM Framework Stage - II w.e.f. June 18, 2019

Sr. No.	Symbol	Security Name	ISIN
NIL			

List of securities shortlisted to move from Short - Term ASM Framework Stage - I to Stage - II w.e.f. June 18, 2019

Sr. No.	Symbol	Security Name	ISIN
1	KSERASERA	KSS Limited	INE216D01026
2	NKIND	NK Industries Limited	INE542C01019

List of securities shortlisted to move from Short - Term ASM Framework Stage - II to Stage - I w.e.f. June 18, 2019

Sr. No.	Symbol	Security Name	ISIN
NIL			

List of securities to be excluded from Short - Term ASM Framework w.e.f. June 18, 2019

Annexure II			
Sr. No.	Symbol	Security Name	ISIN
1	SPTL	Sintex Plastics Technology Limited	INE501W01021
2	KESARENT	Kesar Enterprises Limited	INE133B01019
3	MBLINFRA	MBL Infrastructures Limited	INE912H01013
4	OPTIEMUS	Optiemus Infracom Limited	INE350C01017
5	RHFL	Reliance Home Finance Limited	INE217K01011

Consolidated list of securities shortlisted in Short Term ASM Framework

Consolidated- ST ASM

Sr. No.	Symbol	Security Name	ISIN	Stage
1	CKFSL	Cox & Kings Financial Service Limited	INE391Z01012	I
2	KSERASERA	KSS Limited	INE216D01026	II
3	DCM	DCM Limited	INE498A01018	I
4	STERTOOLS	Sterling Tools Limited	INE334A01023	I
5	PAISALO	Paisalo Digital Limited	INE420C01042	I
6	EMAMIREAL	Emami Realty Limited	INE778K01012	I
7	STEELXIND	STEEL EXCHANGE INDIA LIMITED	INE503B01013	I
8	DTIL	Dhunseri Tea & Industries Limited	INE341R01014	I
9	MADHUCON	Madhucon Projects Limited	INE378D01032	I
10	GOKULAGRO	Gokul Agro Resources Limited	INE314T01025	I
11	FCSSOFT	FCS Software Solutions Limited	INE512B01022	II
12	NKIND	NK Industries Limited	INE542C01019	II
13	UNIPLY	Uniply Industries Limited	INE950G01023	I
14	BLKASHYAP	B. L. Kashyap and Sons Limited	INE350H01032	I
15	VIKASMCORP	Vikas Multicorp Limited	INE161L01027	I
16	BIL	Bhartiya International Limited	INE828A01016	I
17	MAGADSUGAR	Magadh Sugar & Energy Limited	INE347W01011	I
18	IVC	IL&FS Investment Managers Limited	INE050B01023	I
19	HIGHGROUND	High Ground Enterprise Limited	INE361M01021	I
20	OMKARCHEM	Omkar Speciality Chemicals Limited	INE474L01016	I
21	WINDMACHIN	Windsor Machines Limited	INE052A01021	I
22	ALMONDZ	Almondz Global Securities Limited	INE326B01027	I
23	VASWANI	Vaswani Industries Limited	INE590L01019	I
24	AUSOMENT	Ausom Enterprise Limited	INE218C01016	I

25	PRAKASHSTL	Prakash Steelage Limited	INE696K01024	I
26	ANSALAPI	Ansal Properties & Infrastructure Limited	INE436A01026	I
27	IBULISL	Indiabulls Integrated Services Limited	INE126M01010	I
28	KAUSHALYA	Kaushalya Infrastructure Development Corporation Limited	INE234I01010	I
29	SORILINFRA	SORIL Infra Resources Limited	INE034H01016	I
30	TGBHOTELS	TGB Banquets And Hotels Limited	INE797H01018	I
31	SINTEX	Sintex Industries Limited	INE429C01035	I
32	MEGASOFT	Megasoft Limited	INE933B01012	I
33	KWALITY	kwality limited	INE775B01025	I
34	OILCOUNTUB	Oil Country Tubular Limited	INE591A01010	I
35	JHS	JHS Svendgaard Laboratories Limited	INE544H01014	I
36	SPLIL	SPL Industries Limited	INE978G01016	I

The above list of securities under ASM is available on the Exchange website at the following path :

https://www.nseindia.com/invest/content/equities_surv_actions.htm