

**National Stock Exchange Of India Limited****Department : SURVEILLANCE**

Download Ref No: NSE / SURV 41338

Date : June 18, 2019

Circular Ref. No: 242 / 2019

To All NSE Members

**Sub: Applicability of Additional Surveillance Measure (ASM)**

This is further to Exchange Circular no. NSE/SURV/39265 dated October 27, 2018 in respect of Additional Surveillance Measure (ASM).

Members are hereby requested to note that the securities as per attached **Annexure I-A** have satisfied the criteria for inclusion under Long-Term Additional Surveillance Measure. The applicable surveillance actions shall be as per provisions of the Long-Term Additional Surveillance Measure (Long Term - ASM) which are as under:

- Applicable rate of margin shall be 80% w.e.f June 21, 2019 on all open positions as on June 20, 2019 and new positions created from June 19, 2019.

Further to, Exchange Circular no. NSE/SURV/37979 dated June 08, 2018 introducing Additional Surveillance Measure (ASM-IBC) for Companies that are undergoing Insolvency Resolution Process (IRP) as per Insolvency and Bankruptcy Code (IBC). The securities are monitored on a pre-determined objective criteria.

Members are hereby requested to note that the securities as per attached **Annexure I-B** have satisfied the criteria for inclusion under ASM-IBC and the applicable surveillance actions on the shortlisted scrips shall be as under:

- Applicable rate of margin shall be 100% w.e.f. June 25, 2019 on all open positions as on June 24, 2019 and new positions created from June 25, 2019.

Further, securities as per attached **Annexure II** have satisfied the exclusion criteria applicable for ASM & ASM-IBC

Market participants may note that ASM framework shall be in conjunction with all other prevailing surveillance measures being imposed by the Exchanges from time to time.

Further, it may also be noted that the shortlisting of securities under ASM is purely on account of market surveillance and it should not be construed as an adverse action against the concerned company / entity.

In case of any further queries, members are requested to contact on 022-26598129/8166.

**For National Stock Exchange of India Limited**

**Avishkar Naik  
Vice President  
Surveillance**

Telephone No	Email id
+91-22-26598129 / 26598166	surveillance@nse.co.in

## ANNEXURE I-A

List of securities shortlisted in Long - Term ASM Framework Stage - I w.e.f. June 19, 2019

Sr. No.	Symbol	Security Name	ISIN
1	HIGHGROUND	High Ground Enterprise Limited	INE361M01021
2	KWALITY	kwality limited	INE775B01025
3	SINTEX	Sintex Industries Limited	INE429C01035
4	WINDMACHIN	Windsor Machines Limited	INE052A01021

List of securities shortlisted to move from Long - Term ASM Framework Stage - I to Stage - II w.e.f. June 19, 2019

Sr. No.	Symbol	Security Name	ISIN
NIL			

List of securities shortlisted to move from Long - Term ASM Framework Stage - II to Stage - III w.e.f. June 19, 2019

Sr. No.	Symbol	Security Name	ISIN
NIL			

List of securities shortlisted to move from Long - Term ASM Framework Stage - III to Stage - IV w.e.f. June 19, 2019

Sr. No.	Symbol	Security Name	ISIN	From Series	To Series
Nil					

List of securities shortlisted to move from Long - Term ASM Framework Stage - IV to Stage - III w.e.f. June 19, 2019

Sr. No.	Symbol	Security Name	ISIN	From Series	To Series
Nil					

List of securities shortlisted to move from Long - Term ASM Framework Stage - II to Stage - I w.e.f. June 19, 2019

Sr. No.	Symbol	Security Name	ISIN
Nil			

## ANNEXURE II

List of securities to be excluded from ASM Framework w.e.f. June 19, 2019

Sr. No.	Symbol	Security Name	ISIN
Nil			

List of securities to be excluded from ASM for Companies relating to the Insolvency Resolution Process (IRP) as per Insolvency and Bankruptcy Code (IBC) w.e.f. June 19, 2019

Sr. No.	Symbol	Security Name	ISIN
Nil			

## ANNEXURE I-B

List of securities shortlisted in ASM for Companies relating to the Insolvency Resolution Process (IRP) as per Insolvency and Bankruptcy Code (IBC) w.e.f June 19, 2019

Sr. No.	Symbol	Security Name	ISIN
Nil			

## CONSOLIDATED ASM

### Consolidated list of securities shortlisted in ASM Framework

Sr. No.	Symbol	Security Name	ISIN	Stage
1	RCOM	Reliance Communications Limited	INE330H01018	I
2	SHIRPUR-G	Shirpur Gold Refinery Limited	INE196B01016	I
3	UVSL	Uttam Value Steels Limited	INE292A01023	IV
4	DNAMEDIA	Diligent Media Corporation Limited	INE016M01021	I
5	SPCENET	Spacenet Enterprises India Limited	INE970N01027	I
6	BLUECOAST	Blue Coast Hotels Limited	INE472B01011	I
7	LSIL	Lloyds Steels Industries Limited	INE093R01011	I
8	MERCATOR	Mercator Limited	INE934B01028	I
9	JPOWER	Jaiprakash Power Ventures Limited	INE351F01018	I
10	WILLAMAGOR	Williamson Magor & Company Limited	INE210A01017	I
11	RMCL	Radha Madhav Corporation Limited	INE172H01014	I
12	NITESHEST	NEL Holdings Limited	INE639K01016	I
13	ADHUNIKIND	Adhunik Industries Limited	INE452L01012	I
14	EVEREADY	Eveready Industries India Limited	INE128A01029	I
15	UMESLTD	Usha Martin Education & Solutions Limited	INE240C01028	I
16	TECHNOFAB	Technofab Engineering Limited	INE509K01011	I
17	SGL	STL Global Limited	INE353H01010	I
18	MCLEODRUSS	Mcleod Russel India Limited	INE942G01012	I
19	MANPASAND	Manpasand Beverages Limited	INE122R01018	I
20	KRIDHANINF	Kridhan Infra Limited	INE524L01026	I
21	EROSMEDIA	Eros International Media Limited	INE416L01017	I
22	HINDNATGLS	Hindusthan National Glass & Industries Limited	INE952A01022	I
23	LPDC	Landmark Property Development Company Limited	INE197J01017	I

24	OPTIEMUS	Optiemus Infracom Limited	INE350C01017	I
25	RHFL	Reliance Home Finance Limited	INE217K01011	I
26	HIGHGROUND	High Ground Enterprise Limited	INE361M01021	I
27	KWALITY	kwality limited	INE775B01025	I
28	SINTEX	Sintex Industries Limited	INE429C01035	I
29	WINDMACHIN	Windsor Machines Limited	INE052A01021	I

The above list of securities under ASM is available on the Exchange website at the following path :

[https://www.nseindia.com/invest/content/equities\\_surv\\_actions.htm](https://www.nseindia.com/invest/content/equities_surv_actions.htm)

## CONSOLIDATED ASM (IBC)

Consolidated list of securities shortlisted in ASM for Companies relating to the Insolvency Resolution Process (IRP) as per Insolvency and Bankruptcy Code (IBC)

Sr. No.	Symbol	Security Name	ISIN
1	SRSLTD	SRS Limited	INE219H01039
2	ORCHIDPHAR	Orchid Pharma Limited	INE191A01019
3	SUNILHITEC	Sunil Hitech Engineers Limited	INE305H01028
4	JPINFRATEC	Jaypee Infratech Limited	INE099J01015
5	MIL	Mandhana Industries Limited	INE087J01028