

National Stock Exchange Of India Limited**Department : SURVEILLANCE**

Download Ref No: NSE / SURV / 41352

Date : June 19, 2019

Circular Ref. No: 245/ 2019

To All NSE Members

Sub: Applicability of Short Term Additional Surveillance Measure (ST-ASM)

This is further to Exchange Circular no. NSE/SURV/39265 dated October 27, 2018 in respect of Additional Surveillance Measure (ASM).

Members are hereby requested to note that the securities as per attached **Annexure I** have satisfied the criteria for inclusion under **ST-ASM Stage I**. The applicable surveillance actions shall be as per provisions of the Short-Term Additional Surveillance Measure (ST-ASM) which are as under:

- Applicable rate of margin shall be 1.5 times the existing margin or 40%, whichever is higher, subject to maximum rate of margin capped at 100% w.e.f. June 21, 2019 on all open positions as on June 20, 2019 and new positions created from June 21, 2019
- Top 10 clients based on gross traded value, subject to their gross traded value being greater than Rs. 10 lakhs shall be levied 100% margin on their gross traded value w.e.f. June 20, 2019 at End-of-Day (EoD). This margin shall be blocked from the collateral of the executing Trading Member. A file containing scrip / client details shall be transferred through extranet at the following location: /Surveillance/Dnld.

Market participants may note that ASM framework shall be in conjunction with all other prevailing surveillance measures being imposed by the Exchanges from time to time.

Further, it may also be noted that the shortlisting of securities under ASM is purely on account of market surveillance and it should not be construed as an adverse action against the concerned company / entity.

In case of any further queries, members are requested to contact on 022-26598129/8166.

For National Stock Exchange of India Limited**Avishkar Naik
Vice President
Surveillance**

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|----------------------------|------------------------|
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ANNEXURE I

List of securities shortlisted in Short - Term ASM Framework Stage - I w.e.f. June 20 2019

| Sr. No. | Symbol | Security Name | ISIN |
|---------|------------|--|--------------|
| 1 | RNAVAL | Reliance Naval and Engineering Limited | INE542F01012 |
| 2 | DUCON | Ducon Infratechnologies Limited | INE741L01018 |
| 3 | JISLDVREQS | Jain Irrigation Systems Limited | IN9175A01010 |
| 4 | SAKAR | Sakar Healthcare Limited | INE732S01012 |

List of securities shortlisted in Short - Term ASM Framework Stage - II w.e.f. June 20 2019

| Sr. No. | Symbol | Security Name | ISIN |
|---------|--------|---------------|------|
| NIL | | | |

List of securities shortlisted to move from Short - Term ASM Framework Stage - I to Stage - II w.e.f. June 20 2019

| Sr. No. | Symbol | Security Name | ISIN |
|---------|---------|-----------------------------------|--------------|
| 1 | ALMONDZ | Almondz Global Securities Limited | INE326B01027 |

List of securities shortlisted to move from Short - Term ASM Framework Stage - II to Stage - I w.e.f. June 20 2019

| Sr. No. | Symbol | Security Name | ISIN |
|---------|--------|---------------|------|
| NIL | | | |

ANNEXURE II

List of securities to be excluded from Short - Term ASM Framework w.e.f. June 20, 2019

| Sr. No. | Symbol | Security Name | ISIN |
|----------------|---------------|------------------------------------|--------------|
| 1 | OMKARCHEM | Omkar Speciality Chemicals Limited | INE474L01016 |
| 2 | VASWANI | Vaswani Industries Limited | INE590L01019 |

CONSOLIDATED - ST ASM

Consolidated list of securities shortlisted in Short Term ASM Framework

| Sr. No. | Symbol | Security Name | ISIN | Stage |
|---------|------------|--|--------------|-------|
| 1 | CKFSL | Cox & Kings Financial Service Limited | INE391Z01012 | I |
| 2 | KSERASERA | KSS Limited | INE216D01026 | II |
| 3 | DCM | DCM Limited | INE498A01018 | I |
| 4 | STERTOOLS | Sterling Tools Limited | INE334A01023 | I |
| 5 | PAISALO | Paisalo Digital Limited | INE420C01042 | I |
| 6 | EMAMIREAL | Emami Realty Limited | INE778K01012 | I |
| 7 | STEELXIND | STEEL EXCHANGE INDIA LIMITED | INE503B01013 | I |
| 8 | DTIL | Dhunseri Tea & Industries Limited | INE341R01014 | I |
| 9 | MADHUCON | Madhucon Projects Limited | INE378D01032 | I |
| 10 | GOKULAGRO | Gokul Agro Resources Limited | INE314T01025 | I |
| 11 | FCSOFT | FCS Software Solutions Limited | INE512B01022 | II |
| 12 | NKIND | NK Industries Limited | INE542C01019 | II |
| 13 | UNIPLY | Uniply Industries Limited | INE950G01023 | I |
| 14 | BIL | Bhartiya International Limited | INE828A01016 | I |
| 15 | MAGADSUGAR | Magadh Sugar & Energy Limited | INE347W01011 | I |
| 16 | ALMONDZ | Almondz Global Securities Limited | INE326B01027 | II |
| 17 | AUSOMENT | Ausom Enterprise Limited | INE218C01016 | I |
| 18 | PRAKASHSTL | Prakash Steelage Limited | INE696K01024 | I |
| 19 | ANSALAPI | Ansal Properties & Infrastructure Limited | INE436A01026 | I |
| 20 | IBULISL | Indiabulls Integrated Services Limited | INE126M01010 | I |
| 21 | KAUSHALYA | Kaushalya Infrastructure Development Corporation Limited | INE234I01010 | I |
| 22 | SORILINFRA | SORIL Infra Resources Limited | INE034H01016 | I |
| 23 | TGBHOTELS | TGB Banquets And Hotels Limited | INE797H01018 | I |

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|----|------------|--|--------------|---|
| 24 | OILCOUNTUB | Oil Country Tubular Limited | INE591A01010 | I |
| 25 | MEGASOFT | MegasoftLimited | INE933B01012 | I |
| 26 | JHS | JHS Svendgaard Laboratories Limited | INE544H01014 | I |
| 27 | SPLIL | SPL Industries Limited | INE978G01016 | I |
| 28 | MBLINFRA | MBL Infrastructures Limited | INE912H01013 | I |
| 29 | RNAVAL | Reliance Naval and Engineering Limited | INE542F01012 | I |
| 30 | DUCON | Ducon Infratechnologies Limited | INE741L01018 | I |
| 31 | JISLDVREQS | Jain Irrigation Systems Limited | IN9175A01010 | I |
| 32 | SAKAR | Sakar Healthcare Limited | INE732S01012 | I |

The above list of securities under ASM is available on the Exchange website at the following path :

https://www.nseindia.com/invest/content/equities_surv_actions.htm