

National Stock Exchange Of India Limited**Department : SURVEILLANCE**

Download Ref No: NSE / SURV / 41380

Date : June 20, 2019

Circular Ref. No: 249 / 2019

To All NSE Members

Sub:

This is further to Exchange Circular no. NSE/SURV/39265 dated October 27, 2018 in respect of Additional Surveillance Measure (ASM).

Members are hereby requested to note that the securities as per attached **Annexure I-A** have satisfied the criteria for inclusion under Long-Term Additional Surveillance Measure. The applicable surveillance actions shall be as per provisions of the Long-Term Additional Surveillance Measure (Long Term - ASM) which are as under:

- Applicable rate of margin shall be 80% w.e.f June 26, 2019 on all open positions as on June 25, 2019 and new positions created from June 26, 2019.

Further to, Exchange Circular no. NSE/SURV/37979 dated June 08, 2018 introducing Additional Surveillance Measure (ASM-IBC) for Companies that are undergoing Insolvency Resolution Process (IRP) as per Insolvency and Bankruptcy Code (IBC). The securities are monitored on a pre-determined objective criteria.

Members are hereby requested to note that the securities as per attached **Annexure I-B** have satisfied the criteria for inclusion under ASM-IBC and the applicable surveillance actions on the shortlisted scrips shall be as under:

- Applicable rate of margin shall be 100% w.e.f. June 28, 2019 on all open positions as on June 27, 2019 and new positions created from June 28, 2019.

Further, securities as per attached **Annexure II** have satisfied the exclusion criteria applicable for ASM & ASM-IBC

Market participants may note that ASM framework shall be in conjunction with all other prevailing surveillance measures being imposed by the Exchanges from time to time.

Further, it may also be noted that the shortlisting of securities under ASM is purely on account of market surveillance and it should not be construed as an adverse action against the concerned company / entity.

In case of any further queries, members are requested to contact on 022-26598129/8166.

For National Stock Exchange of India Limited

**Avishkar Naik
Vice President
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ANNEXURE I-A

List of securities shortlisted in Long - Term ASM Framework Stage - I w.e.f. June 24, 2019

| Sr. No. | Symbol | Security Name | ISIN |
|---------|-----------|------------------------------|--------------|
| 1 | PARSVNATH | Parsvnath Developers Limited | INE561H01026 |
| 2 | ANSALHSG | Ansal Housing Limited | INE880B01015 |

List of securities shortlisted to move from Long - Term ASM Framework Stage - I to Stage - II w.e.f. June 24, 2019

| Sr. No. | Symbol | Security Name | ISIN |
|---------|--------|---------------|------|
| NIL | | | |

List of securities shortlisted to move from Long - Term ASM Framework Stage - II to Stage - III w.e.f. June 24, 2019

| Sr. No. | Symbol | Security Name | ISIN |
|---------|--------|---------------|------|
| NIL | | | |

List of securities shortlisted to move from Long - Term ASM Framework Stage - III to Stage - IV w.e.f. June 24, 2019

| Sr. No. | Symbol | Security Name | ISIN | From Series | To Series |
|---------|--------|---------------|------|-------------|-----------|
| Nil | | | | | |

List of securities shortlisted to move from Long - Term ASM Framework Stage - IV to Stage - III w.e.f. June 24, 2019

| Sr. No. | Symbol | Security Name | ISIN | From Series | To Series |
|---------|--------|---------------|------|-------------|-----------|
| Nil | | | | | |

List of securities shortlisted to move from Long - Term ASM Framework Stage - II to Stage - I w.e.f. June 24, 2019

| Sr. No. | Symbol | Security Name | ISIN |
|---------|--------|---------------|------|
| Nil | | | |

ANNEXURE II

List of securities to be excluded from ASM Framework w.e.f. June 24, 2019

| Sr. No. | Symbol | Security Name | ISIN |
|---------|---------|------------------------------------|--------------|
| 1 | SPCENET | Spacenet Enterprises India Limited | INE970N01027 |

List of securities to be excluded from ASM for Companies relating to the Insolvency Resolution Process (IRP) as per Insolvency and Bankruptcy Code (IBC) w.e.f. June 24, 2019

| Sr. No. | Symbol | Security Name | ISIN |
|---------|--------|---------------|------|
| Nil | | | |

ANNEXURE I-B

List of securities shortlisted in ASM for Companies relating to the Insolvency Resolution Process (IRP) as per Insolvency and Bankruptcy Code (IBC) w.e.f June 24, 2019

| Sr. No. | Symbol | Security Name | ISIN |
|---------|--------|---------------|------|
| Nil | | | |

CONSOLIDATED ASM

Consolidated list of securities shortlisted in ASM Framework

| Sr. No. | Symbol | Security Name | ISIN | Stage |
|---------|------------|--|--------------|-------|
| 1 | RCOM | Reliance Communications Limited | INE330H01018 | I |
| 2 | SHIRPUR-G | Shirpur Gold Refinery Limited | INE196B01016 | I |
| 3 | UVSL | Uttam Value Steels Limited | INE292A01023 | IV |
| 4 | DNAMEDIA | Diligent Media Corporation Limited | INE016M01021 | I |
| 5 | BLUECOAST | Blue Coast Hotels Limited | INE472B01011 | I |
| 6 | LSIL | Lloyds Steels Industries Limited | INE093R01011 | I |
| 7 | MERCATOR | Mercator Limited | INE934B01028 | I |
| 8 | JPOWER | Jaiprakash Power Ventures Limited | INE351F01018 | I |
| 9 | WILLAMAGOR | Williamson Magor & Company Limited | INE210A01017 | I |
| 10 | RMCL | Radha Madhav Corporation Limited | INE172H01014 | I |
| 11 | NITESHEST | NEL Holdings Limited | INE639K01016 | I |
| 12 | ADHUNIKIND | Adhunik Industries Limited | INE452L01012 | I |
| 13 | EVEREADY | Eveready Industries India Limited | INE128A01029 | I |
| 14 | UMESLTD | Usha Martin Education & Solutions Limited | INE240C01028 | I |
| 15 | TECHNOFAB | Technofab Engineering Limited | INE509K01011 | I |
| 16 | SGL | STL Global Limited | INE353H01010 | I |
| 17 | MCLEODRUSS | Mcleod Russel India Limited | INE942G01012 | I |
| 18 | MANPASAND | Manpasand Beverages Limited | INE122R01018 | I |
| 19 | KRIDHANINF | Kridhan Infra Limited | INE524L01026 | I |
| 20 | EROSMEDIA | Eros International Media Limited | INE416L01017 | I |
| 21 | HINDNATGLS | Hindusthan National Glass & Industries Limited | INE952A01022 | I |
| 22 | LPDC | Landmark Property Development Company Limited | INE197J01017 | I |
| 23 | OPTIEMUS | Optiemus Infracom Limited | INE350C01017 | I |
| 24 | RHFL | Reliance Home Finance Limited | INE217K01011 | I |
| 25 | HIGHGROUND | High Ground Enterprise Limited | INE361M01021 | I |

| | | | | |
|----|------------|--|--------------|---|
| 26 | KWALITY | kwality limited | INE775B01025 | I |
| 27 | SINTEX | Sintex Industries Limited | INE429C01035 | I |
| 28 | WINDMACHIN | Windsor Machines Limited | INE052A01021 | I |
| 29 | ADLABS | Adlabs Entertainment Limited | INE172N01012 | I |
| 30 | DQE | DQ Entertainment (International) Limited | INE656K01010 | I |
| 31 | EASUNREYRL | Easun Reyrolle Limited | INE268C01029 | I |
| 32 | OILCOUNTUB | Oil Country Tubular Limited | INE591A01010 | I |
| 33 | RNAVAL | Reliance Naval and Engineering Limited | INE542F01012 | I |
| 34 | PARSVNATH | Parsvnath Developers Limited | INE561H01026 | I |
| 35 | ANSALHSG | Ansal Housing Limited | INE880B01015 | I |

The above list of securities under ASM is available on the Exchange website at the following path :

https://www.nseindia.com/invest/content/equities_surv_actions.htm

CONSOLIDATED- ASM(IBC)

Consolidated list of securities shortlisted in ASM for Companies relating to the Insolvency Resolution Process (IRP) as per Insolvency and Bankruptcy Code (IBC)

| Sr. No. | Symbol | Security Name | ISIN |
|----------------|---------------|--------------------------------|--------------|
| 1 | SRSLTD | SRS Limited | INE219H01039 |
| 2 | ORCHIDPHAR | Orchid Pharma Limited | INE191A01019 |
| 3 | SUNILHITEC | Sunil Hitech Engineers Limited | INE305H01028 |
| 4 | JPINFRATEC | Jaypee Infratech Limited | INE099J01015 |
| 5 | MIL | Mandhana Industries Limited | INE087J01028 |