

## National Stock Exchange Of India Limited

Department : SURVEILLANCE

Download Ref No: NSE / SURV / 41404

Date : June 25, 2019

Circular Ref. No: 255 / 2019

To All NSE Members

#### Sub: Applicability of Short Term Additional Surveillance Measure (ST-ASM)

This is further to Exchange Circular no. NSE/SURV/39265 dated October 27, 2018 in respect of Additional Surveillance Measure (ASM).

Members are hereby requested to note that the securities as per attached **Annexure I** have satisfied the criteria for inclusion under **ST-ASM Stage I**. The applicable surveillance actions shall be as per provisions of the Short-Term Additional Surveillance Measure (ST-ASM) which are as under:

- Applicable rate of margin shall be 1.5 times the existing margin or 40%, whichever is higher, subject to maximum rate of margin capped at 100% w.e.f. June 27, 2019 on all open positions as on June 26, 2019 and new positions created from June 27, 2019.
- Top 10 clients based on gross traded value, subject to their gross traded value being greater than Rs.10 lakhs shall be levied 100% margin on their gross traded value w.e.f. June 26, 2019 at End-of-Day (EoD). This margin shall be blocked from the collateral of the executing Trading Member. A file containing scrip / client details shall be transferred through extranet at the following location: /Surveillance/Dnld.

Market participants may note that ASM framework shall be in conjunction with all other prevailing surveillance measures being imposed by the Exchanges from time to time.

Further, it may also be noted that the shortlisting of securities under ASM is purely on account of market surveillance and it should not be construed as an adverse action against the concerned company / entity.

In case of any further queries, members are requested to contact on 022-26598129/8166.

#### For National Stock Exchange of India Limited

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### **ANNEXURE I**

List of securities shortlisted in Short - Term ASM Framework Stage - I w.e.f. June 26, 2019

Sr. No.	Symbol	Security Name	ISIN
1	EON	Eon Electric Limited	INE076H01025
2	HOTELEELA	Hotel Leela Venture Limited	INE102A01024
3	SHREERAMA	Shree Rama Multi-Tech Limited	INE879A01019
4	TANLA	Tanla Solutions Limited	INE483C01032

List of securities shortlisted in Short - Term ASM Framework Stage - II w.e.f. June 26, 2019

Sr. No.	Symbol	Security Name	ISIN
	Nil		

List of securities shortlisted to move from Short - Term ASM Framework Stage - I to Stage - II w.e.f. June 26, 2019

Sr. No.	Symbol	Security Name	ISIN
	Nil		

List of securities shortlisted to move from Short - Term ASM Framework Stage - II to Stage - I w.e.f. June 26, 2019

Sr. No.	Symbol	Security Name	/ Name ISIN	
1	KSERASERA	KSS Limited	INE216D01026	
2	NKIND	NK Industries Limited	INE542C01019	

# **ANNEXURE II**

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List of securities to be excluded from Short - Term ASM Framework w.e.f. June 26, 2019			
Sr. No.	Symbol	Security Name	ISIN
1	DCM	DCM Limited #	INE498A01018
2	JHS	JHS Svendgaard Laboratories Limited	INE544H01014
3	SPLIL	SPL Industries Limited	INE978G01016

# Security shifted from Short Term ASM to Long Term ASM w.e.f. June 26, 2019

# **CONSOLIDATED- ST ASM**

### Consolidated list of securities shortlisted in Short Term ASM Framework

Sr. No.	Symbol	Security Name	ISIN	Stage
1	CKFSL	Cox & Kings Financial Service Limited	INE391Z01012	II
2	KSERASERA	KSS Limited	INE216D01026	I
4	STERTOOLS	Sterling Tools Limited	INE334A01023	I
5	PAISALO	Paisalo Digital Limited	INE420C01042	I
6	EMAMIREAL	Emami Realty Limited	INE778K01012	I
7	STEELXIND	STEEL EXCHANGE INDIA LIMITED	INE503B01013	I
8	DTIL	Dhunseri Tea & Industries Limited	INE341R01014	I
9	MADHUCON	Madhucon Projects Limited	INE378D01032	II
10	GOKULAGRO	Gokul Agro Resources Limited	INE314T01025	I
11	FCSSOFT	FCS Software Solutions Limited	INE512B01022	I
12	NKIND	NK Industries Limited	INE542C01019	Ι
13	UNIPLY	Uniply Industries Limited	INE950G01023	Ι
14	BIL	Bhartiya International Limited	INE828A01016	Ι
15	MAGADSUGAR	Magadh Sugar & Energy Limited	INE347W01011	Ι
16	ALMONDZ	Almondz Global Securities Limited	INE326B01027	II
17	MEGASOFT	MegasoftLimited	INE933B01012	Ι
20	MBLINFRA	MBL Infrastructures Limited	INE912H01013	I
21	JISLDVREQS	Jain Irrigation Systems Limited	IN9175A01010	I
22	SAKAR	Sakar Healthcare Limited	INE732S01012	I
23	BARTRONICS	Bartronics India Limited	INE855F01034	I
24	HOVS	HOV Services Limited	INE596H01014	Ι
25	JPASSOCIAT	Jaiprakash Associates Limited	INE455F01025	I
26	KOHINOOR	Kohinoor Foods Limited	INE080B01012	I
27	BLKASHYAP	B. L. Kashyap and Sons Limited	INE350H01032	I
28	OMKARCHEM	Omkar Speciality Chemicals Limited	INE474L01016	I

29	WEIZFOREX	Weizmann Forex Limited	INE726L01019	Ι
30	SAKUMA	Sakuma Exports Limited	INE190H01024	Ι
31	RADAAN	Radaan Mediaworks India Limited	INE874F01027	Ι
32	PDPL	Parenteral Drugs (India) Limited	INE904D01019	Ι
33	GTL	GTL Limited	INE043A01012	Ι
34	ATLANTA	Atlanta Limited	INE285H01022	Ι
35	AGARIND	Agarwal Industrial Corporation Limited	INE204E01012	Ι
36	EMAMIPAP	Emami Paper Mills Limited	INE830C01026	Ι
37	CANTABIL	Cantabil Retail India Limited	INE068L01016	Ι
38	EON	Eon Electric Limited	INE076H01025	Ι
39	HOTELEELA	Hotel Leela Venture Limited	INE102A01024	Ι
40	SHREERAMA	Shree Rama Multi-Tech Limited	INE879A01019	Ι
41	TANLA	Tanla Solutions Limited	INE483C01032	Ι

The above list of securities under ASM is available on the Exchange website at the following path :

https://www.nseindia.com/invest/content/equities\_surv\_actions.htm