

NOTICES

Notice No.	20190903-37	Notice Date	03 Sep 2019
Category	Company related	Segment	Equity
Subject	Action against the Defaulting Companies for non-payment of Annual Listing Fees (ALF) – Equity Segment		
Attachments	Annexure I.pdf ; Annexure III.pdf ; Annexure II.pdf		
Content			

Trading Members and Market Participants are requested to note that as per Regulation 14 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“LODR Regulations”) and the provisions of Rules, Bye-laws and Regulations of the Exchange, every listed company is required to pay annual listing fees to the Exchange where its securities are listed. In spite of the repeated reminders issued for the non-payment of annual listing fees to defaulting companies, the defaulting companies have failed to pay the arrears of annual listing fees. Exchanges jointly had issued a circular (ref no. LIST/COMP/OPS/ 16 /2019-2020 dated June 11, 2019) to listed entities advising them to make payment of listing fees by August 31, 2019 and had also informed that action(s) would be initiated against listed companies for non-payment of outstanding ALF. Accordingly, the Exchange has identified the companies which have failed to pay the outstanding ALF.

The list of securities which are traded in Normal Segment and shall be transferred to Trade for Trade segment with effect from September 11, 2019 is given in **Annexure I**.

The list of securities which are already available for dealing in Trade for Trade segment (T, XT, Z & P Group) and shall continue to be available in Trade for Trade segment in view of continuing non-compliance with aforesaid listing regulations (non-payment of outstanding ALF) is given in **Annexure II**. This list also has names of the companies given separately, which are already under suspension.

Further, the list of securities which are compliant at BSE however non-compliant at other recognized stock exchange and hence shall be transferred to Trade for Trade segment with effect from September 11, 2019 is given in **Annexure III**.

It may be noted that if a company pays its outstanding ALF dues on or before September 06, 2019 then the trading in securities of the company shall not be moved to ‘Trade for Trade’ segment. This is not applicable if the company is in Trade for Trade segment due to non-compliance of Regulations of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“LODR Regulations”), Surveillance measures etc.

Further the trading in the remaining defaulting companies which are already in Trade for Trade segment (T, XT, Z & P Group) for non-compliance of Regulations of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“LODR Regulations”), Surveillance measures etc., the designated group for dealing on the Exchange platform of such defaulting companies will remain the same.

Trading Members and Market Participants are requested to contact the following officers of the Exchange for further clarification if any:

Contact persons / Department	Email Ids
Mr. Tushar Kamath / Mr. Pranav Singh	listing.alfdues@bseindia.com

Girish Joshi
Chief General Manager
September 3, 2019

Pranav Singh
Manager