

**National Stock Exchange Of India Limited****Department : SURVEILLANCE**

Download Ref No: NSE/SURV/44788

Date: June 26, 2020

Circular Ref. No: 391/2020

To All NSE Members

**Sub: List of Securities moving to Stage I of Graded Surveillance Measure (GSM)**

In continuation to Exchange circular(s) NSE/SURV/34262, NSE/SURV/42135, NSE/SURV/42790 and NSE/SURV/43966 dated February 23, 2017, September 13, 2019, November 29, 2019 and March 20, 2020 respectively, members are hereby requested to note that the following securities shall be moved to Stage I of GSM with effect from June 29, 2020.

Sr. No.	Symbol	Security Name	ISIN
1	JPOLYINVST	Jindal Poly Investment and Finance Company Limited	INE147P01019
2	NIBL	NRB Industrial Bearings Limited	INE047O01014
3	NATNLSTEEL	National Steel And Agro Industries Limited	INE088B01015

Trading in the above mentioned securities shall be available with margin rate of 100% and price band of 5% or lower as applicable.

Members are requested to take adequate precaution while trading in the above securities. Please refer below Annexure for stage wise Surveillance actions as per GSM framework.

In case of any further queries, members are requested to contact on 022-26598129/8166.

**For National Stock Exchange of India Limited**

**Sareesh Koroth**  
**Chief Manager**  
**Surveillance**

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**National Stock Exchange Of India Limited****Department : SURVEILLANCE**

Download Ref No: NSE/SURV/44789

Date : June 26, 2020

Circular Ref. No: 392/2020

To All NSE Members

**Sub: List of Securities moving to Stage IV of Graded Surveillance Measure (GSM)**

In continuation to Exchange circular(s) NSE/SURV/34262, NSE/SURV/42135, NSE/SURV/42790 and NSE/SURV/43966 dated February 23, 2017, September 13, 2019, November 29, 2019 and March 20, 2020 respectively, members are hereby requested to note that the following securities shall be moved to Stage IV of GSM with effect from June 29, 2020.

Sr. No.	Symbol	Security Name	ISIN
1	JKIND	JKI Industries Limited	INE026B01049

Trading in the above mentioned securities shall be available in Trade for Trade with price band of 5% or lower as applicable and Trading permitted once a week (Every Monday/1<sup>st</sup> trading day of the week) and ASD (100% of trade value) to be deposited by the buyers with no upward movement.

Members are requested to take adequate precaution while trading in the above securities, as the settlement shall be done on trade-to-trade basis and no netting off shall be allowed. Please refer Annexure for stage wise Surveillance actions as per GSM framework.

In case of any further queries, members are requested to contact on 022-26598129/8166.

**For National Stock Exchange of India Limited**

**Sareesh Koroth**  
**Chief Manager**  
**Surveillance**

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**Annexure:****Stage wise Surveillance actions as per GSM framework**

Stage	Surveillance Actions
I	Applicable margin rate shall be 100% and price band of 5% or lower as applicable.
II	Trade for Trade with price band of 5% or lower as applicable and Additional Surveillance Deposit (ASD) of 50% of trade value to be deposited by buyers.
III	Trade for Trade with price band of 5% or lower as applicable and Trading permitted once a week (Every Monday / 1 <sup>st</sup> trading day of the week) and ASD (100% of trade value) to be deposited by the buyers.
IV	Trade for Trade with price band of 5% or lower as applicable and Trading permitted once a week (Every Monday / 1 <sup>st</sup> trading day of the week) and ASD (100% of trade value) to be deposited by the buyers with no upward movement.

## Annexure A

<b>Sr. No.</b>	<b>ISIN No</b>	<b>Security Name</b>	<b>Symbol</b>	<b>Moved to GSM Stage</b>
1	INE147P01019	Jindal Poly Investment and Finance Company Limited	JPOLYINVST	1
2	INE047O01014	NRB Industrial Bearings Limited	NIBL	1
3	INE088B01015	National Steel And Agro Industries Limited	NATNLSTEEL	1
4	INE026B01049	JIK Industries Limited	JKIND	4