



To,
SMIFS LTD (DP ID: IN301629 / IN303794 / 12016000)
4, Lee Road, Vaibhav
Kolkata- 700020

DP ID :		BO ID :							
Name of Client(s)									

DP Charges Schedule

PARTICULARS	CHARGES
DP AMC Charge *Individual	Rs. 300/- p.a.
DP AMC Charges * Corporate	Rs. 800/- p.a.
Transaction Charges	
All Receipts	NIL
All Deliveries	0.01% of Market Value (Min Rs. 15/-)
Pledge Creation	Rs. 25/- per request
Pledge Creation Confirmation	Rs. 25/- per request
Pledge Closure	Rs. 25/- per request
Pledge Closure Confirmation	Rs. 25/- per request
Pledge Invocation	Rs. 100/- per request
Securities Margin Pledge Creation	Rs. 5/- per request
Securities Margin Pledge Invocation	Rs. 5/- per request
Securities Margin Pledge Closure	Rs. 5/- per request
Securities Margin Re-Pledge Creation	Rs. 1/- per request
Securities Margin Re-Pledge Invocation	Rs. 5/- per request
Securities Margin Re-Pledge Closure	Rs. 1/- per request
Securities Lending/Borrowing	Rs. 100/- per request
Demat Request	Rs. 2/- per certificate (Min Rs. 25/- per request)
Demat Rejection	Rs. 25/- per request
Remat Request	Rs.12/-perhundredsharesorRs.12/-per certificate, whichever is higher (Minimum Rs. 25/- per request). Mailing charges on Actuals
Miscellaneous Charges	
Same Day Execution after 4.00 p.m. *	Rs. 50/- per request
Same Day Execution before pay-in deadline *	Rs. 100/- per request
Cheque Dishonour Charges	Rs. 590/- per request (incl. GST)
Delivery Instruction Book	Rs. 25/- per request
Additional Transaction/Holding Statement *	Rs. 10/- per page on request of Holding Statement and Rs.4/- per page on request of Transaction Statement
Monthly Transaction/Holding Statement *	Rs. 25/- per request
Power of Attorney processing	Rs. 50/- per request
KRA Processing	Rs. 35/- per request
KYC Details Modification	Rs. 50/- per request
Mailing Charges	
Demat/Remat	On Actual
Statements, Communications etc.	Rs. 25/- per consignmentt
*Charges to be paid upfront	

Notes :

- Charges are based on NSDL/CDSL Charges exclusive of GST and other Government Levies as applicable.
- Charges are subject to revision at the sole discretion of SMIFS Limited with due instructions to Clients
- Transaction Statement will be provided on a monthly basis, if there is transaction.
- Statement of Holding will be provided on a quarterly basis.
- Operating instructions for the joint accounts must be signed by all holders.
- Billing will be done on a weekly basis. AMC billing on Quarterly basis for Individual BO and yearly for Non-Individual BO
- Other Out-of-pocket expenses will be recoverable from the accountholder(s).
- Interest @ 2% per month or part thereof would be charged for delayed payments.
- Any revision of fees will be intimated through Circular by Ordinary Post.

Signature of Sole/1st Holder

Signature of 2nd Holder

Signature of 3rd Holder

TARIFF SHEET

SMIFS Limited

4 Lee Road Vaibhav 5th Floor, Kolkata - 700020

Charges for Securities Broking Services of SMIFS Limited

I/ We _____ agree to pay the charges as per following charges structure for our

Trading account with SMIFS Limited, (in short "SMIFS/ the Company") w.e.f: _____ Unique Client Code: _____

Charge Head (Type of Transactions)	BROKERAGE PLAN I (SMIFS – VALUE)		BROKERAGE PLAN II (SMIFS – STEADY)		BROKERAGE PLAN III (SMIFS – ELITE)		BROKERAGE PLAN IV (SMIFS – STAR)	
	* % or Rs. Per Lot	Minimum Brokerage	* % or Rs. Per Lot	Minimum Brokerage	* % or Rs. Per Lot	Minimum Brokerage	* % or Rs. Per Lot	Minimum Brokerage
Equity Delivery	0.15%	0.015	0.20%	0.02	0.30%	0.03	0.40%	0.04
Equity Intraday	0.015%	0.015	0.02%	0.02	0.03%	0.03	0.04%	0.04
Equity Derv. Futures	0.02%	0.02	0.03%	0.03	0.03%	0.03	0.05%	0.05
Equity Derv. Options	₹ 20.00/- lot	-	₹ 30.00/- lot	-	₹ 40.00/- lot	-	₹ 50.00/- lot	-
Currency Derv. Futures	0.02%	0.02	0.03%	0.03	0.03%	0.03	0.05%	0.05
Currency Derv. Options	₹ 10.00/- lot	-	₹ 20.00/- lot	-	₹ 25.00/- lot	-	₹ 30.00/- lot	-
Commodity Derv. Futures	0.02%	0.02	0.03%	0.03	0.03%	0.03	0.05%	0.05
Commodity Derv. Options	₹ 20.00/- lot	-	₹ 30.00/- lot	-	₹ 40.00/- lot	-	₹ 50.00/- lot	-

*Capital Market Segment - Brokerage % (Subject to higher limit of 2.5%) Equity Derivative & Currency Derivative Segment - Brokerage % (Subject to higher limit of 2.5% / Rs. 100/- per lot in Options) Commodity Derivatives Segment - Brokerage % (Subject to higher limit of 2.5% / Rs. 250/- per lot in Options)

Brokerage Setup [to be filled by Client] (Subject to Approval by Competent Authorities)

Broking Charges (Type of Transactions)

	Equity Delivery (%)	Equity Intraday (%)	Equity Derv. Futures (%)	Equity Derv. Options (Per Lot)	Currency Derv. Futures (%)	Currency Derv. Options (Per Lot)	Commodity Derv. Futures (%)	Commodity Derv. Options (Per Lot)	Both Side/One Side
Brokerage %									
Minimum Brokerage									
Mutual Fund Segment									
Brokerage %									
Minimum Brokerage									
SLB Segment									
Securities Lending And Borrowing	Commission/ Fees on Applicable Rate of Interest								

Other Charges	BROKERAGE PLAN I (SMIFS – VALUE)	BROKERAGE PLAN II (SMIFS – STEADY)	BROKERAGE PLAN III (SMIFS – ELITE)	BROKERAGE PLAN IV (SMIFS – STAR)	BROKERAGE [FILLED BY CLIENT]
Where Collateral is provided in the form of Securities (after applicable Haircut) towards Margin for Derivative Trading in any Market Segments, Exposure Funding Charges would be levied by SMIFS Limited with maximum rate of @ 24% per annum to match the "Cash to Securities" Ratio.	24% (per Annum)	24% (per Annum)	18% (per Annum)	15% (per Annum)	24% (per Annum)
Delayed Payment Interest (Normal)	24% (per Annum)	18% (per Annum)	18% (per Annum)	15% (per Annum)	24% (per Annum)
Delayed Payment Interest (MTF)	24% (per Annum)	18% (per Annum)	18% (per Annum)	15% (per Annum)	24% (per Annum)
Switching Charges (Only 3 switches allowed in a calendar year)	₹ 0 (when switching to Steady/Elite/Star)	₹ 0 (when switching to Elite/Star)	₹ 0 (when switching to Star) ₹ 150/- (when switching to Value/ Steady)	₹ 250/- (when switching to Value/Steady/Elite)	₹ 250/- (when switching to Value/Steady/Elite)
Annual Subscription Fee for EXE Based Diet Users & Availing Mobile Trading Facility towards	200.00/- Per Month + GST	200.00/- Per Month + GST	200.00/- Per Month + GST	200.00/- Per Month + GST	200.00/- Per Month + GST

TARIFF SHEET

reimbursement of cost levied by the Software Vendor.				
Brokerage in Special Circumstances	<p>Where the sale/purchase value of a share is Rs. 10/- or less in Capital Market Segment, maximum brokerage upto 25 paise per share may be collected.</p> <p>Trades resulting in brokerage less than Rs. 25/- for a particular settlement, excluding statutory charges, a brokerage of maximum 2.5% shall be charged.</p> <p>Where Brokerage on a Contract Note with regard to dealing in Option Contracts, irrespective of underlying, is less than Rs. 25/- (excluding charges), Brokerage of Rs. 100/- per lot shall be charged.</p>			
Clearing Charges	Clearing Charges towards Derivative Trading in any Market Segments, would be levied with maximum rate of Rs. 50/- per Crore of Turnover in Futures Segment & Re. 1/- per Lot in Options Segment, towards reimbursement of charges levied by the Clearing Member			
Processing Charges	Processing Charge of Rs. 25/- shall be levied per Contract Note to cover the operational costs.			
Cheque Dishonour Charges	In case of cheque dishonour Rs. 590/- (incl. GST) shall be charged.			

Document Handling Charges					
(i) Customer opted for receiving documents by Electronic Mode: In case such customer intends to receive additional / duplicate copies of documents (contract notes, statements etc.) by Physical mode or physical documents are sent due to email getting bounced					
(ii) Customer opted for receiving documents by Physical Mode: In case such customer intends to receive additional / duplicate copies of documents (contract notes, statements etc.) by Physical mode.					
Documents Type	BROKERAGE PLAN I (SMIFS – VALUE)	BROKERAGE PLAN II (SMIFS – STEADY)	BROKERAGE PLAN III (SMIFS – ELITE)	BROKERAGE PLAN IV (SMIFS – STAR)	BROKERAGE [FILLED BY CLIENT]
Contract Note	10/- Per Contract	10/- Per Contract	10/- Per Contract	10/- Per Contract	25/- Per Contract
Trade Register	4/- Per Page	4/- Per Page	4/- Per Page	4/-	5/- Per Page
Ledger Statement	4/- Per Page	4/- Per Page	4/- Per Page	4/-	5/- Per Page
Form 10B	10/- Per Form	10/- Per Form	10/- Per Form	10/-	15/- per request
Updation/Modification/Changes in KYC details as per Client's Request	50/- per request	50/- per request	40/- per request	35/- per request	50/- per request
Courier/Postal Charges on post updation/modification/changes in KYC details as per Client's Request (For Clients who has not opted for Electronic Mode for Communication)*[*exclusive any Changes in Address]	50/- per request	50/- per request	40/- per request	35/- per request	50/- per request
Tools & Features					
Market Info	Free	Free	Free	Free	Free
Research	50.00/- Per Month	25.00/- Per Month	15.00/- Per Month	Free	50.00/- Per Month
Notes:	All Statutory Charges presently applicable and/or would be applicable in future, under various legislation of Central Government, State Government, Regulatory Authorities, Stock Exchanges and / or any Public Authorities, would be charged and collected from the Client.				
	The Brokerage will be exclusive of the following except in cases where it is agreed otherwise: (i) STT, GST, SEBI/Exchange/Clearing Member Charges, Stamp Duty and all other Statutory Charges payable to Exchange/SEBI/Government Authorities will be charged as per current applicable rate. (ii) Any Other Charges towards customized / specialized service represents a consolidated charge towards providing various value-added services such as Trade Confirmation SMS, electronic account access, access to research reports, market news SMS and towards defraying SMIFS's Statutory /Regulatory liability for the clients' trades. (iii) Any other penalties arising on specific default by the client (investor) as SMIFS may deem fair and fit.				
	No brokerage would be charged on Auction Trades, however applicable charges, viz. penalty charges, auction difference, etc., levied by the respective Stock Exchanges as may be debited to SMIFS, would be debited to account of the Client.				
	Market condition change over time and therefore in future any increase/changes in other charges other than statutory levies will be done with advance intimation to the Clients and upon acceptance of such changes.				
	Physically Settled Derivative Contracts – As per Equity Delivery Brokerage % of trade value Or Minimum Delivery brokerage shall be applicable.				

Signature of Client		Date:
----------------------------	--	--------------