

National Stock Exchange of India

Circular

Department: SURVEILLANCE	
Download Ref No: NSE/SURV/58691	Date: September 29, 2023
Circular Ref. No: 717/2023	

To All NSE Members

Sub: Applicability of Short-Term Additional Surveillance Measure (ST-ASM)

This is further to Exchange Circular nos. NSE/SURV/39265, NSE/SURV/46557, NSE/SURV/52144 and dated October 27, 2018 and December 04, 2020 and April 28, 2022, in respect of Additional Surveillance Measure (ASM).

Members are hereby requested to note that the securities as per attached **Annexure I** have satisfied the criteria for inclusion under **ST-ASM Stage I**. The applicable surveillance actions shall be as per provisions of the Short-Term Additional Surveillance Measure (ST-ASM) which are as under:

- Applicable rate of margin shall be 50% or existing margin, whichever is higher, subject to maximum rate of margin capped at 100% w.e.f. October 04, 2023 on all open positions as on October 03, 2023 and new positions created from October 04, 2023.

Market participants may note that ASM framework shall be in conjunction with all other prevailing surveillance measures being imposed by the Exchanges from time to time.

Further, it may also be noted that the shortlisting of securities under ASM is purely on account of market surveillance, and it should not be construed as an adverse action against the concerned company / entity.

For more information on Additional Surveillance Measure please refer to our Frequently Asked Questions (FAQs) - <https://www.nseindia.com/regulations/additional-surveillance-measure>

In case of any further queries, you may write to us at surveillance@nse.co.in.



National Stock Exchange of India

For National Stock Exchange of India Limited

Binoy Yohannan
Associate Vice President
Surveillance

Consolidated list of securities shortlisted in ASM Framework

Sr. No.	Symbol	Security Name	ISIN	Stage
1	GAEL	Gujarat Ambuja Exports Limited	INE036B01030	I
2	GTLINFRA	GTL Infrastructure Limited	INE221H01019	I
3	HUBTOWN	Hubtown Limited	INE703H01016	I
4	IDEA	Vodafone Idea Limited	INE669E01016	I
5	JAYNECOIND	Jayaswal Neco Industries Limited	INE854B01010	I
6	JINDALPHOT	Jindal Photo Limited	INE796G01012	I
7	KOPRAN	Kopran Limited	INE082A01010	I
8	MAGADSUGAR	Magadh Sugar & Energy Limited	INE347W01011	I
9	NELCAST	Nelcast Limited	INE189I01024	I
10	NIITLTD	NIIT Limited	INE161A01038	I
11	OMAXE	Omaxe Limited	INE800H01010	I
12	OPTIEMUS	Optiemus Infracom Limited	INE350C01017	I
13	SENCO	Senco Gold Limited	INE602W01019	I
14	SHREYAS	Shreyas Shipping & Logistics Limited	INE757B01015	I
15	SURYAROSNI	Surya Roshni Limited	INE335A01012	I
16	TATAINVEST	Tata Investment Corporation Limited	INE672A01018	I
17	TREL	Transindia Real Estate Limited	INE003901029	I
18	VIPULLTD	Vipul Limited	INE946H01037	I
19	WILLAMAGOR	Williamson Magor & Company Limited	INE210A01017	I