

National Stock Exchange of India Circular

| Department: SURVEILLANCE | |
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| Download Ref No: NSE/SURV/59596 | Date: December 01, 2023 |
| Circular Ref. No: 897/2023 | |

To All NSE Members

Sub: Applicability of Additional Surveillance Measure (ASM)

This is with reference to Exchange Circular nos. NSE/SURV/39265, NSE/SURV/45111, NSE/SURV/46557, NSE/SURV/48506 and NSE/SURV/52090 dated October 27, 2018, July 22, 2020, December 04, 2020, and June 04, 2021 and April 22, 2022 respectively in respect of Additional Surveillance Measure (ASM).

Members are hereby requested to note that the securities as per the attached **Annexure** have satisfied the criteria for inclusion under Long Term Additional Surveillance Measure. The applicable surveillance actions shall be as per provisions of the Long-Term Additional Surveillance Measure (Long Term - ASM) which are as under:

- Applicable rate of margin shall be 100% w.e.f. December 06, 2023 on all open positions as on December 05, 2023 and new positions created from December 06, 2023 onwards.

Additionally, securities qualifying under criteria VII (*Scripts shifted to Stage IV*) shall be shifted from Rolling Settlement segment (Series: EQ) to Trade-for-Trade segment (Series: BE) w.e.f. December 06, 2023.

Market participants may note that ASM framework shall be in conjunction with all other prevailing surveillance measures being imposed by the Exchanges from time to time.

Further, it may also be noted that the shortlisting of securities under ASM is purely on account of market surveillance, and it should not be construed as an adverse action against the concerned company / entity.



National Stock Exchange of India

For more information on Additional Surveillance Measure please refer to our Frequently Asked Questions (FAQs) - <https://www.nseindia.com/regulations/additional-surveillance-measure>

In case of any further queries, you may write to us at surveillance@nse.co.in.

For National Stock Exchange of India Limited

Binoy Yohannan
Associate Vice President
Surveillance

Consolidated list of securities shortlisted in ASM Framework

| Sr. No. | Symbol | Security Name | ISIN | Stage |
|----------------|---------------|--|--------------|--------------|
| 1 | 21STCENMGM | 21st Century Management Services Limited | INE253B01015 | I |
| 2 | 63MOONS | 63 moons technologies limited | INE111B01023 | II |
| 3 | ACE | Action Construction Equipment Limited | INE731H01025 | I |
| 4 | ADANIPOWER | Adani Power Limited | INE814H01011 | I |
| 5 | AGRITECH | Agri-Tech (India) Limited | INE449G01018 | I |
| 6 | AGROPHOS | Agro Phos India Limited | INE740V01019 | I |
| 7 | AKSHARCHEM | AksharChem India Limited | INE542B01011 | I |
| 8 | ALKALI | Alkali Metals Limited | INE773I01017 | I |
| 9 | ANANDRATHI | Anand Rathi Wealth Limited | INE463V01026 | I |
| 10 | ANUP | The Anup Engineering Limited | INE294Z01018 | I |
| 11 | APOLLO | Apollo Micro Systems Limited | INE713T01028 | IV |
| 12 | ARIHANTCAP | Arihant Capital Markets Limited | INE420B01036 | I |
| 13 | ASAHISONG | Asahi Songwon Colors Limited | INE228I01012 | I |
| 14 | ASHAPURMIN | Ashapura Minechem Limited | INE348A01023 | IV |
| 15 | ASHIMASYN | Ashima Limited | INE440A01010 | I |
| 16 | ATULAUTO | Atul Auto Limited | INE951D01028 | I |
| 17 | AURIONPRO | Aurionpro Solutions Limited | INE132H01018 | IV |
| 18 | BAJAJHIND | Bajaj Hindusthan Sugar Limited | INE306A01021 | I |
| 19 | BANCOINDIA | Banco Products (I) Limited | INE213C01025 | I |
| 20 | BFUTILITIE | BF Utilities Limited | INE243D01012 | I |
| 21 | BHARATWIRE | Bharat Wire Ropes Limited | INE316L01019 | I |
| 22 | BIRLACABLE | Birla Cable Limited | INE800A01015 | I |
| 23 | BOMDYEING | Bombay Dyeing & Mfg Company Limited | INE032A01023 | I |
| 24 | BRNL | Bharat Road Network Limited | INE727S01012 | I |
| 25 | BSE | BSE Limited | INE118H01025 | I |
| 26 | CENTUM | Centum Electronics Limited | INE320B01020 | III |
| 27 | CREATIVEYE | Creative Eye Limited | INE230B01021 | I |
| 28 | CUPID | Cupid Limited | INE509F01011 | I |
| 29 | DBCORP | D.B.Corp Limited | INE950I01011 | I |
| 30 | DBREALTY | D B Realty Limited | INE879I01012 | I |
| 31 | DCMNVL | DCM Nouvelle Limited | INE08KP01019 | I |
| 32 | DPABHUSHAN | D. P. Abhushan Limited | INE266Y01019 | I |
| 33 | DSSL | Dynacons Systems & Solutions Limited | INE417B01040 | I |
| 34 | DUGLOBAL | DUDIGITAL GLOBAL LIMITED | INE0HPK01020 | I |
| 35 | DYCL | Dynamic Cables Limited | INE600Y01019 | II |
| 36 | EIMCOELECO | Eimco Elecon (India) Limited | INE158B01016 | IV |
| 37 | ELECTCAST | Electrosteel Castings Limited | INE086A01029 | I |
| 38 | EMIL | Electronics Mart India Limited | INE02YR01019 | I |
| 39 | ENERGYDEV | Energy Development Company Limited | INE306C01019 | I |
| 40 | GABRIEL | Gabriel India Limited | INE524A01029 | I |
| 41 | GENUSPOWER | Genus Power Infrastructures Limited | INE955D01029 | I |
| 42 | GET&D | GE T&D India Limited | INE200A01026 | IV |
| 43 | GFLLIMITED | GFL Limited | INE538A01037 | I |
| 44 | GINNIFILA | Ginni Filaments Limited | INE424C01010 | I |

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| Sr. No. | Symbol | Security Name | ISIN | Stage |
|----------------|---------------|---|--------------|--------------|
| 45 | GLOBALVECT | Global Vectra Helicorp Limited | INE792H01019 | I |
| 46 | GMRP&UI | GMR Power and Urban Infra Limited | INE0CU601026 | I |
| 47 | GOKUL | Gokul Refoils and Solvent Limited | INE020J01029 | I |
| 48 | GRAVITA | Gravita India Limited | INE024L01027 | I |
| 49 | GREENPOWER | Orient Green Power Company Limited | INE999K01014 | I |
| 50 | GRWRHITECH | Garware Hi-Tech Films Limited | INE291A01017 | I |
| 51 | GVKPIL | GVK Power & Infrastructure Limited | INE251H01024 | IV |
| 52 | HBLPOWER | HBL Power Systems Limited | INE292B01021 | I |
| 53 | HECPROJECT | HEC Infra Projects Limited | INE558R01013 | I |
| 54 | HIRECT | Hind Rectifiers Limited | INE835D01023 | I |
| 55 | HSCL | Himadri Speciality Chemical Limited | INE019C01026 | I |
| 56 | IFGLEXPOR | IFGL Refractories Limited | INE133Y01011 | I |
| 57 | IRIS | Iris Business Services Limited | INE864K01010 | I |
| 58 | IWEL | Inox Wind Energy Limited | INE0FLR01028 | IV |
| 59 | JAIBALAJI | Jai Balaji Industries Limited | INE091G01018 | IV |
| 60 | JAICORPLTD | Jai Corp Limited | INE070D01027 | I |
| 61 | JBMA | JBM Auto Limited | INE927D01044 | III |
| 62 | JETFREIGHT | Jet Freight Logistics Limited | INE982V01025 | I |
| 63 | JINDALPHOT | Jindal Photo Limited | INE796G01012 | I |
| 64 | JINDALSAW | Jindal Saw Limited | INE324A01024 | I |
| 65 | JINDRILL | Jindal Drilling And Industries Limited | INE742C01031 | I |
| 66 | JITFINFRA | JITF Infralogistics Limited | INE863T01013 | IV |
| 67 | JPASSOCIAT | Jaiprakash Associates Limited | INE455F01025 | I |
| 68 | JPPOWER | Jaiprakash Power Ventures Limited | INE351F01018 | I |
| 69 | JSL | Jindal Stainless Limited | INE220G01021 | I |
| 70 | JWL | Jupiter Wagons Limited | INE209L01016 | I |
| 71 | KALYANKJIL | Kalyan Jewellers India Limited | INE303R01014 | I |
| 72 | KAMATHOTEL | Kamat Hotels (I) Limited | INE967C01018 | I |
| 73 | KANANIIND | Kanani Industries Limited | INE879E01037 | I |
| 74 | KAYNES | Kaynes Technology India Limited | INE918Z01012 | I |
| 75 | KDDL | KDDL Limited | INE291D01011 | I |
| 76 | KIRLOSBROS | Kirloskar Brothers Limited | INE732A01036 | I |
| 77 | KOTHARIPET | Kothari Petrochemicals Limited | INE720A01015 | I |
| 78 | KREBSBIO | Krebs Biochemicals and Industries Limited | INE268B01013 | I |
| 79 | KSOLVES | Ksolves India Limited | INE0D6I01015 | III |
| 80 | LGBFORGE | LGB Forge Limited | INE201J01017 | I |
| 81 | LLOYDS | Lloyds Luxuries Limited | INE0DTQ01028 | I |
| 82 | LLOYDSENGG | LLOYDS ENGINEERING WORKS LIMITED | INE093R01011 | IV |
| 83 | LOKESHMACH | Lokesh Machines Limited | INE397H01017 | I |
| 84 | LOVABLE | Lovable Lingerie Limited | INE597L01014 | I |
| 85 | MAANALU | Maan Aluminium Limited | INE215I01027 | I |
| 86 | MAHSEAMLES | Maharashtra Seamless Limited | INE271B01025 | I |
| 87 | MALUPAPER | Malu Paper Mills Limited | INE383H01017 | I |
| 88 | MANINDS | Man Industries (India) Limited | INE993A01026 | I |

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|----------------|---------------|---|--------------|--------------|
| 89 | MARALOVER | Maral Overseas Limited | INE882A01013 | I |
| 90 | MOLDTECH | Mold-Tek Technologies Limited | INE835B01035 | I |
| 91 | MUFIN | Mufin Green Finance Limited | INE08KJ01020 | I |
| 92 | NEULANDLAB | Neuland Laboratories Limited | INE794A01010 | I |
| 93 | NEWGEN | Newgen Software Technologies Limited | INE619B01017 | IV |
| 94 | NUCLEUS | Nucleus Software Exports Limited | INE096B01018 | IV |
| 95 | OBCL | Orissa Bengal Carrier Limited | INE426Z01016 | I |
| 96 | OMAXAUTO | Omax Autos Limited | INE090B01011 | I |
| 97 | ORICONENT | Oricon Enterprises Limited | INE730A01022 | I |
| 98 | PAKKA | PAKKA LIMITED | INE551D01018 | I |
| 99 | PARACABLES | Paramount Communications Limited | INE074B01023 | III |
| 100 | PARAGMILK | Parag Milk Foods Limited | INE883N01014 | I |
| 101 | PDSL | PDS Limited | INE111Q01021 | I |
| 102 | PFS | PTC India Financial Services Limited | INE560K01014 | I |
| 103 | PGIL | Pearl Global Industries Limited | INE940H01014 | IV |
| 104 | PILITA | PIL ITALICA LIFESTYLE LIMITED | INE600A01035 | I |
| 105 | PPAP | PPAP Automotive Limited | INE095I01015 | I |
| 106 | PPL | Prakash Pipes Limited | INE050001010 | I |
| 107 | PRAKASH | Prakash Industries Limited | INE603A01013 | IV |
| 108 | PRECAM | Precision Camshafts Limited | INE484I01029 | I |
| 109 | QUICKHEAL | Quick Heal Technologies Limited | INE306L01010 | I |
| 110 | RAJTV | Raj Television Network Limited | INE952H01027 | I |
| 111 | RAMANEWS | Shree Rama Newsprint Limited | INE278B01020 | I |
| 112 | REFEX | Refex Industries Limited | INE056I01017 | I |
| 113 | RESPONIND | Responsive Industries Limited | INE688D01026 | I |
| 114 | RKFORGE | Ramkrishna Forgings Limited | INE399G01023 | I |
| 115 | RMDRIP | R M Drip and Sprinklers Systems Limited | INE219Y01018 | I |
| 116 | RTNPOWER | RattanIndia Power Limited | INE399K01017 | I |
| 117 | SAH | Sah Polymers Limited | INE035801013 | I |
| 118 | SAHANA | Sahana System Limited | INE0LEX01011 | I |
| 119 | SAKSOFT | Saksoft Limited | INE667G01023 | IV |
| 120 | SALSTEEL | S.A.L. Steel Limited | INE658G01014 | I |
| 121 | SAMPANN | Sampann Utpadan India Limited | INE735M01018 | I |
| 122 | SANGINITA | Sanginita Chemicals Limited | INE753W01010 | I |
| 123 | SARDAEN | Sarda Energy & Minerals Limited | INE385C01021 | I |
| 124 | SDBL | Som Distilleries & Breweries Limited | INE480C01020 | I |
| 125 | SEPC | SEPC Limited | INE964H01014 | I |
| 126 | SERVOTECH | Servotech Power Systems Limited | INE782X01033 | IV |
| 127 | SHAKTIPUMP | Shakti Pumps (India) Limited | INE908D01010 | I |
| 128 | SHANTI | Shanti Overseas (India) Limited | INE933X01016 | I |
| 129 | SHRIPISTON | Shriram Pistons & Rings Limited | INE526E01018 | I |
| 130 | SIMBHALS | Simbhaoli Sugars Limited | INE748T01016 | I |
| 131 | SKMEGGPROD | SKM Egg Products Export (India) Limited | INE411D01015 | I |
| 132 | SKYGOLD | Sky Gold Limited | INE01IU01018 | I |

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| Sr. No. | Symbol | Security Name | ISIN | Stage |
|----------------|---------------|---|--------------|--------------|
| 133 | SURANASOL | Surana Solar Limited | INE272L01022 | I |
| 134 | SURANI | Surani Steel Tubes Limited | INE01ZJ01015 | I |
| 135 | SUVIDHAA | Suvidhaa Infoserve Limited | INE018401013 | I |
| 136 | SUZLON | Suzlon Energy Limited | INE040H01021 | IV |
| 137 | SWELECTES | Swelect Energy Systems Limited | INE409B01013 | I |
| 138 | TALBROAUTO | Talbro's Automotive Components Limited | INE187D01029 | IV |
| 139 | TARC | TARC Limited | INE0EK901012 | I |
| 140 | TDPOWERSYS | TD Power Systems Limited | INE419M01027 | I |
| 141 | TEXRAIL | Texmaco Rail & Engineering Limited | INE621L01012 | I |
| 142 | TFL | Transwarranty Finance Limited | INE804H01012 | I |
| 143 | THANGAMAYL | Thangamayil Jewellery Limited | INE085J01014 | I |
| 144 | TIIL | Technocraft Industries (India) Limited | INE545H01011 | I |
| 145 | TIJARIA | Tijaria Polypipes Limited | INE440L01017 | I |
| 146 | TNTELE | Tamilnadu Telecommunication Limited | INE141D01018 | I |
| 147 | TOKYOPLAST | Tokyo Plast International Limited | INE932C01012 | I |
| 148 | TREEHOUSE | Tree House Education & Accessories Limited | INE040M01013 | I |
| 149 | TRIL | Transformers And Rectifiers (India) Limited | INE763I01026 | I |
| 150 | URAVI | Uravi T and Wedge Lamps Limited | INE568Z01015 | I |
| 151 | USHAMART | Usha Martin Limited | INE228A01035 | I |
| 152 | VASCONEQ | Vascon Engineers Limited | INE893I01013 | I |
| 153 | VIPCLOTHNG | VIP Clothing Limited | INE450G01024 | I |
| 154 | VIPULLTD | Vipul Limited | INE946H01037 | I |
| 155 | WELCORP | Welspun Corp Limited | INE191B01025 | I |
| 156 | ZENTEC | Zen Technologies Limited | INE251B01027 | II |