

Important Advice in respect to Trading with SMIFS Limited



Dear Investor,

Greetings from SMIFS LIMITED. We thank you for being our Client and having reposed Trust and Faith in SMIFS Limited.

This is to apprise you that a little awareness and alertness can go a long way in keeping investments safe. Think Twice before you make any Investments in the Securities Market.

Points to Remember before Trading:

1. Securities Market is subjected to Market Risks. You can earn Unlimited Profits or can incur Unlimited Losses. In case you trade on Stock Exchanges and suffer adverse consequences or loss, you shall be solely responsible for the same and Stock Exchanges/its Clearing Corporation and/or SEBI shall not be responsible, in any manner whatsoever, for the same and it will not be open for you to take a plea that no adequate disclosure regarding the risks involved was made or that you were not explained the full risk involved by SMIFS Limited.
2. SMIFS Limited and/or its Employees and/or its Authorized Persons does NOT provide any Assured/Guaranteed Returns to its Clients. Always beware of those who promise Assured/Guaranteed Returns on your Investments. Invested money grows as per market conditions, so take such claims with a pinch of salt. Never ever fall prey to false promises.
3. Password is the key to unlock your financial profile. So, Please DO NOT share your Internet Based Trading, Mobile Trading and Back Office Password with anyone including its Employees and/or its Authorized Persons, as it could result in unauthorized trades and losses. Never ever blindly trust on any one.
4. Always keep your KYC Updated with SMIFS Limited for un-interrupted seamless services by recording any change in your address, mobile number and E-Mail address. Update your Financial Details regularly for better Risk Profiling.
5. Stock Brokers are barred in dealing in Cash. SMIFS Limited does not accept Cash from its Clients either directly or by way of Cash Deposit to the Company's Designated Bank Accounts. SMIFS Limited and/or its Employees and/or its Authorized Persons does not accept/ deal in Cash.
6. In case you officially Authorize someone to Trade on your behalf, please be careful and peruse the Risk Disclosure Document once again for the adverse Financial Obligations which you may face as a consequence.

SMIFS Limited follows the above principles in Letter and Spirit and shall NOT be responsible in any manner whatsoever in case you are found to flout any of the laid principles mentioned in the Risk Disclosure Document, Rights & Obligations, etc. which you have declared that you have read and understood the same and agreed to abide by each and every Terms and Conditions laid therein.

Your satisfaction has always been the biggest motivating factor for us in all our endeavours.

Assuring you best of our services.

Team Compliance
SMIFS LIMITED